JUN 2001 DT02 Rec'd PCT/PT0 FORM PTO-1390 (Modified) (REV. 11-2000) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE 252558US6PCT TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. 23 January 2002 22 January 2003 PCT/JP03/00534 TITLE OF INVENTION DISK TYPE OPTICAL RECORDING MEDIUM AND REPRODUCTION LIMITING METHOD THEREFOR APPLICANT(S) FOR DO/EO/US SABI Yuichi et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. \boxtimes This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), \boxtimes 3. (6), (9) and (24) indicated below. The US has been elected by the expiration of 19 months from the priority date (Article 31). 4. A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) 5. is attached hereto (required only if not communicated by the International Bureau). × has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). c. 🗆 An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). 6. \boxtimes \boxtimes is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). ъ. 🎞 Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) 7. \boxtimes are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. d. 🖾 have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 9. An English language translation of the annexes to the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371 (c)(5)). 11. A copy of the International Preliminary Examination Report (PCT/IPEA/409). 12. \boxtimes A copy of the International Search Report (PCT/ISA/210). Items 13 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. \boxtimes 13. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 14. A FIRST preliminary amendment. 15. A SECOND or SUBSEQUENT preliminary amendment. 16. 17. A substitute specification. 18. A change of power of attorney and/or address letter.

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

A second copy of the published international application under 35 U.S.C. 154(d)(4).

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Express Mail Label No.

Cited References (7)

Other items or information:

PTO-1449/Notice of Priority/PCT/IB/308
Application Data Sheet/Drawings (5 sheets)

PCTUS1/REV03

U.S. A	international application no. PCT/JP03/00534								ATTORNEY'S DOCKET NUMBER 252558US6PCT			
24.	T	ne foll	owing fees are submitte	d:.					CAI	CULATIONS	PTO USE ONLY	
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):												
 □ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO												
☒	USPTO but International Search Report prepared by the EPO or JPO \$920.00											
	but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$770.00											
	but all claims did not satisfy provisions of PCT Article 33(1)-(4)											
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)												
ENTER APPROPRIATE BASIC FEE AMOUNT =										\$920.00		
month	Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).									\$0.00		
CLAIMS			NUMBER FIL		NUMBER EXT	RA	x \$18.00			\$18.00		
				20 = 3 =	0					\$0.00	, N =	
Independent claims 2 - 3 = Multiple Dependent Claims (check if applicable).				, , , , , , , , , , , , , , , , , , ,			0		\$0.00			
TOTAL OF ABOVE CALCULATIONS =										\$938.00		
□ <i>f</i>	Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.									\$0.00		
SUBTOTAL =										\$938.00		
Processing fee of \$130.00 for furnishing the English translation later than										\$0.00		
TOTAL NATIONAL FEE =										\$938.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).										\$0.00		
TOTAL FEES ENCLOSED =										\$938.00		
									Amo	efunded	\$	
										charged	\$	
a.		A ch	eck in the amount of _		to cover the a	above fee	es is end	closed.				
b.	b. Please charge my Deposit Account No in the amount of to cover the above fees.											
c.	c. The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 15-0030											
d.												
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR												
	1.137(a) or (b)) must be filed and granted to restore the application to pending status.											
SEND ALL CORRESPONDENCE TO:												
CUSTOMER NUMBER 22850 Surinder Sachar SIGNATURE												
	Registration No. 34,423 Gregory J. 1 NAME 25,599 REGISTRATION							gory J. M	Maier			
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